

Guidelines for Application of User ID Creation

- Print the application form on the letter-head of member.
- Fill-up all blanks in the Application form (Please do not leave any column blank)
- Fill-up the appropriate details for mode of connectivity viz. VSAT/MPLS-Leased Line/Internet.
- The application form should be signed by Authorised Signatories (i.e. designated director in case of company / proprietor/ managing partner in case of Partnership firm.
- Members are requested to obtain the signature of the authorised signatories and affix rubber stamp on each and every page of the undertaking.
- Note that any incomplete application/ request which is not properly filled will not be entertained by the exchange.
- Exchange reserves the right to allow/disallow any request by the member.
- In case of any query/doubt kindly contact our customer support department.

Note: Members are advised that the Internet connectivity should not be provided to their clients. Members willing to extend this facility to their client/s are required to adhere to the SEBI circular SMDRP/POLICY/CIR-06/2000 of January 31, 2000.

(On the Letterhead of the Member)

**APPLICATION CUM UNDERTAKING FOR APPROVAL AS USER IN CURRENCY
DERIVATIVES SEGMENT**

To : Membership Department
"Exchange Square",
CTS No 255,
Suren Road, Andheri (E), Mumbai – 400093

Dear Sir,
I/We _____ (Name of Trading Member) do hereby desire that Mr. / Ms.
_____(Name of User) be granted permission to act as our Approved User at our
office (relevant details are given below) for our trading terminal in the Currency Derivatives
Segment.

DETAILS OF THE OFFICE :

1. Status and Address of the office where terminal is
Located/intended to be located : Registered./ Head / Branch
office

Office Address :
2. Name and designation of the person in-charge of
the above office :
3. Mode of connectivity for trading from the said office :

a) Primary Connectivity
VSAT ID MPLS-Lease Line ID P2P Lease Line IP Address _____

b) Back-up Connectivity
VSAT ID MPLS-Lease Line ID P2P Lease Line Internet
IP Address _____
4. No. of existing terminals connected to the above VSAT / LL Id :

DETAILS OF THE PROPOSED USER

5. Name of the person for whom this application for
allotment of User id is made (*expand all initials*) :
6. Date of birth :
7. Father's name :
8. Permanent Address :
9. Present Address :
10. Qualification
11. Registration No. of the NISM certification examination :
(copy of certificate enclosed)
12. Date of validity of the certificate : ___/___/___ (in dd/mm/yyyy)
13. PAN of proposed user (Copy enclosed) :

14. Relationship of the proposed user with the Trading Member (i.e. employee, agent on contract basis, Partner, Director, Authorised person, Employee of Authorised person, Proprietor) :
- (If relationship is Authorised person Or Employee of Authorised person, Please provide Trade Name of Authorised Person) :
15. Pro Account enablement required : Yes/No
- If required,
- a) Location is a default location : Yes/No
- b) In case the location is not default whether undertaking for pro account enablement at multiple locations is attached with this application : Yes/No/NA/Submitted already
- c) In case the user id will be extended thru 'CTCL', whether undertaking for pro account enablement at multiple locations is attached with this application : Yes/No/NA/Submitted already
- d) Name and designation of the director(s) / Partner(s) supervising at the mentioned location :
- e) In case the location is not default, reason for availing Pro –facility :

We hereby confirm that the required Computer systems, networking systems, etc. as prescribed by the Exchange have already been installed for the new Trader Workstation, for which this facility is being sought. We hereby confirm that the terms and conditions of the undertaking for VSAT/Lease Line/internet based trading have been read and understood by us and we hereby undertake to comply with the same. We further undertake that we shall be responsible for all transactions done by us using VSAT/Lease Line/internet facility provided by the Exchange and for any risk arising out of this facility and we shall not hold the Exchange responsible for any loss or risk arising from the use of VSAT/Lease Line/internet facility.

I/We hereby agree and bind myself/ourselves to be responsible for all acts, quotations made and transactions done, trades made, or effected by Mr. / Ms. _____ as our Approved User on the Trading System of the MCX Stock Exchange Ltd. I/We shall ensure that he/she will not execute any order on his/her own account or on account of anyone which he is not authorised to do. We shall also ensure that the proposed Approved User will not function / place orders / deal on the trading system in any manner that would represent that the trades have been placed as an intermediary. In case his/her ceasing to be associated with us as approved user, we shall communicate to you and seek the disablement of the User ID so allotted against this application. We are also aware that the certificate submitted by us for granting approval of this user has a validity of 3/5 years from the date of the certificate and accordingly the User ID so allotted may be withdrawn by the Exchange on the expiry of such period unless the said certification is revalidated. I / We certify that we have not applied for any other User ID in his/her name on the same segment of the Exchange for whom this ID is now being applied for. Further, I/We have ensured that the proposed approved user has not applied for any other user id through any other trading member on the same segment of the Exchange. We will ensure that the User ID so allotted would be utilized by his/her only to access the Exchange trading system.

Further, I/we confirm that the proposed approved user is not debarred/declared defaulter/suspended by SEBI/stock exchanges/other authorities.

Date: _____
Place: _____

Stamp & Signature of the Trading Member