

**Partnership - TCM**

**ANNEXURE A**

**UNDERTAKING FROM ALL PARTNERS AUTHORIZING THE DESIGNATED PARTNER**

(On letter-head of applicant) **(On XYZ Securities Letter Head)**

Date :- **04/11/2008**

To,  
Membership Department  
MCX Stock Exchange Ltd.  
Mumbai

Dear Sir,

We hereby authorize Mr. **Ajay Kumar Sharma** and Mr. **Vijay Tewari** to be the Designated Partners of the Firm for all matters related to MCX Stock Exchange Ltd. (MCX-SX).

Further, Mr. **Ajay Kumar Sharma** AND /OR Mr. **Vijay Tewari** of the firm are also authorized to execute individually / jointly all documents / agreements on behalf of the firm for operations of MCX Stock Exchange Ltd. (MCX-SX).

| Name of All Partners     | Signature       |
|--------------------------|-----------------|
| <b>Ajay Kumar Sharma</b> | <b>A.Kumar</b>  |
| <b>Vijay Tewari</b>      | <b>V.Tewari</b> |
|                          |                 |
|                          |                 |
|                          |                 |
|                          |                 |
|                          |                 |
|                          |                 |
|                          |                 |

Date: **04/11/2008**

**XYZ Securities Rubber Stamp**

Place: **Mumbai**

## **ANNEXURE B**

### **NETWORTH CERTIFICATE**

Certificate dated **04/11/2008 (on the letter head of Jatin Shah & Co)**

Submitted by XYZ **Securities** to MCX Stock Exchange Limited

#### **CERTIFICATE**

This is to certify that the Net worth of M/s **XYZ Securities** as on **31/3/2008** as per the statement of computation of even date annexed to this report is Rupees **10,25,00,000/- (Ten Crores Twenty Lacs only)**.

We further certify that:

- M/s/ Mr. / Ms. \_\_\_\_\_ is not engaged in any fund-based activities or business other than that of securities/currency derivatives. Fund based assets, if any, have been divested from the books of accounts and have not been included for the purpose of calculation of networth.
- The computation of networth based on my / our scrutiny of the books of accounts, records and documents is true and correct to the best of my / our knowledge and as per information provided to my / our satisfaction.
- The computation of networth is in accordance with the method of computation prescribed by Dr. L C Gupta Committee Report.

Place: **Mumbai**

Date: **04/11/2008**

**Jatin Shah & Co.**

**J. Shah**

**Jatin Shah**

**(Affix CA Firm Rubber Stamp)**

Membership Number: **12345**

## **COMPUTATION OF NET WORTH (on the letter head of Jatin Shah & Co)**

The method of computation of Networth as prescribed by Dr. L.C. Gupta Committee on Derivatives is as follows

|  |                     |
|--|---------------------|
| Capital + Free Reserves  | <b>11,25,00,000</b> |
| Less Non-allowable assets viz.,  | Nil                 |
| (a) Fixed Assets   | <b>1,00,00,000</b>  |
| (b) Pledged Securities   | <b>Nil</b>          |
| (c) Value of Member's card   | <b>Nil</b>          |
| (d) Non-allowable securities (unlisted securities),  | <b>Nil</b>          |
| (e) Bad deliveries   | <b>Nil</b>          |
| (f) Doubtful Debts and Advances (debts or advances overdue for more than three months or debts or advances given to the associate persons of the member) | <b>Nil</b>          |
| (g) Prepaid expenses, losses   |                     |
| (h) Intangible Assets  | <b>Nil</b>          |
| (i) 30% of Marketable securities   | <b>10,25,00,000</b> |

Place: **Mumbai**

Date: **04/11/2008**

**Jatin Shah & Co.**

*J. Shah*

**Jatin Shah**

**(Affix CA Firm Rubber Stamp)**

Membership Number: **12345**

**ANNEXURE C**  
**DETAILS OF PARTNERS**

(On letter-head of applicant) **(On XYZ Securities Letter Head)**

Certificate dated **04/11/2008**

Submitted by **XYZ Securities** to  
MCX Stock Exchange Limited

**DETAILS OF PARTNERS AS ON**

| Sr No | Name \$                  | Fathers Name \$ | Designation | Date of Birth            | Education | PAN Number | Residential Address & Telephone/ Mobile Nos./ Email ID/ Fax No.                   | Designate partners (Yes/No) # | Share holding |     |            | Directorship controlling shareholding in other cos. |
|-------|--------------------------|-----------------|-------------|--------------------------|-----------|------------|---|-------------------------------|---------------|-----|------------|---|
|       |                          |                 |             |                          |           |            |   |                               | No.           | Amt | % of total |   |
| 1     | <b>Ajay Kumar Sharma</b> | Suresh Sharma   | Partner     | 22/12/1957<br>(49 Years) | B.Com     | ASDFGH260  | 10/Maratha Man<br>Mumbai Cente<br>Mumbai-52. Mob<br>1234567890<br>Ajaj@gmail.com  | Yes                           | N.A           | N.A | 50%        | N.A   |
| 2     | <b>Sanjay Verma</b>      | Dinesh Verma    | Partner     | 02/11/1958<br>(50 Years) | B.Com     | ASDFGH260  | 11/Maratha Man<br>Mumbai Cente<br>Mumbai-52. Mob<br>1234567890<br>Sanjay@gmail.co | No                            | N.A           | N.A | 25%        | N.A   |
| 3     | <b>Vijay Tewari</b>      | Jignesh Tewari  | Partner     | 04/04/1959<br>(48 Year)  | B.Com     | ASDFGH260  | 12/Maratha Man<br>Mumbai Cente<br>Mumbai-52. Mob<br>1234567890<br>vijay@gmail.com | Yes                           | N.A           | N.A | 25%        | N.A.  |

**NOTES :**

# Identify atleast two designated partners (A designated partner is one who is a graduate by qualification (or CA, ICWA or CS) and possesses atleast 2 years of experience pertaining to securities market. They, essentially, look after the stock market operations of a member entity. Every member firm should have atleast 2 such partners who meet the aforesaid norms.)

\$ All initials to be expanded

Date: **04/11/2008**

Place: **Mumbai**

**A.Kumar**

**Ajay Kumar Sharma (Affix Rubber stamp)**

**V.Tewari**

**Vijay Tewari (Affix Rubber stamp)**

**CERTIFICATE**

This is to certify that the sharing pattern in M/s **XYZ Securities** as given above, based on my/ our scrutiny of the books of accounts, records and documents is true and correct to the best of my/our knowledge and as per information provided to my/our satisfaction.

Place: **Mumbai**

Date: **04/11/2008**

**Jatin Shah & Co.**

**J. Shah**

**(Affix Jatin Shah & Co.Rubber Stamp)**

Membership Number: **12345**

**ANNEXURE D**

**SHARING PATTERN**

(On letter-head of applicant) **(On Firm's Letter Head)**

Certificate dated **04/11/2008**

Submitted by **XYZ Securities** to MCX Stock Exchange Limited

SHARING PATTERN of **XYZ Securities** (Name of Applicant) as on **31/03/2008**

| Sr. No. | Name of the Partner \$   | Amount Paid up<br>(Rs. in lakhs) | Sharing<br>ratio (%) |
|---------|--------------------------|----------------------------------|----------------------|
| 1       | <b>Ajay Kumar Sharma</b> | -                                | <b>50.0</b>          |
| 2       | <b>Vijay Tewari</b>      | -                                | <b>25.0</b>          |
| 3       | <b>Sanjay Verma</b>      |                                  | <b>25.0</b>          |
| 4       |                          |                                  |                      |
| 5       |                          |                                  |                      |
| 6       |                          |                                  |                      |
| 7       |                          |                                  |                      |
| 8       |                          |                                  |                      |
| 9       |                          |                                  |                      |
| TOTAL   |                          | <b>4000000</b>                   | 100%                 |

\$ All initials to be expanded

**NOTES :**

1. In case of bodies **corporate holding 2%** or more in the member entity, the details of their shareholding shall also be furnished in the same format as given above
2. **HUF give names of Karta and co-parceners.**

Date: **01/11/2008**

Place: **Mumbai**

1. **A.Kumar**

2. **V.Tewari**

**XYZ Securities Rubber Stamp**

**XYZ Securities Rubber Stamp**

CERTIFICATE

This is to certify that the sharing pattern in M/s **XYZ Securities** as given above, based on my/our scrutiny of the books of accounts, records and documents is true and correct to the best of my/our knowledge and as per information provided to my/our satisfaction.

Place: **Mumbai**

Date: **04/11/2008**

**Jatin Shah & Co.**

**J. Shah**

**(Affix Jatin Shah & Co.Rubber Stamp)**

Membership Number: **12345**

**ANNEXURE E**  
**DETAILS OF DOMINANT PROMOTER GROUP**  
(On letter-head of applicant) **(On Firm's Letter Head)**  
Certificate dated **04/11/2008**

Submitted by **XYZ Securities** to MCX Stock Exchange Limited

**DETAILS OF DOMINANT PROMOTER GROUP** as on **31/03/2008**

| Sr No        | Name of dominant partner | Person (s) supporting dominant partner | Relation \$ | Total Amt paid up @ | Sharing ratio % |           | % of Total Sharing ratio |
|--------------|--------------------------|--|-------------|---------------------|-----------------|-----------|--------------------------|
|              |                          |  |             |                     | Self            | Relatives |                          |
| 1            | <b>Ajay Kum Sharma</b>   | -                                      | -           | -                   | -               | -         | <b>50%</b>               |
| 2            | <b>Vijay Tewari</b>      | -                                      | -           | -                   | -               | -         | <b>25%</b>               |
| 3            |                          |  |             |                     |                 |           |                          |
| <b>TOTAL</b> |                          |  |             |                     |                 |           |                          |

\$ Please specify relation of person supporting dominant partner

@ : For arriving at the sharing ratio of persons constituting the dominant group, the sharing ratio of close relatives, namely parents, spouse, children and their descendants, brothers and sisters only may also be counted provided these relatives have given an irrevocable, unconditional support in writing in the prescribed format to the Exchange.

Date: **04/11/2008**

Place: **Mumbai**

1. **A.Kumar**

**XYZ Securities Rubber Stamp**

2. **V.Tewari**

**XYZ Securities Rubber Stamp**

CERTIFICATE

This is to certify that the sharing pattern in M/s **XYZ Securities** as given above, based on my/ our scrutiny of the books of accounts, records and documents is true and correct to the best of my/our knowledge and as per information provided to my/our satisfaction.

Place: **Mumbai**

Date: **04/11/2008**

**Jatin Shah & Co.**

**J. Shah**

**(Affix Jatin Shah & Co.Rubber Stamp)**

Membership Number: **12345**

**ANNEXURE F**

**FORMAT OF UNDERTAKING WITH RESPECT TO DESIGNATED PARTNERS**

PART 1

**TO WHOMSOEVER IT MAY CONCERN**

(To be certified by a practicing chartered accountant)

This is with reference to the application of M/s **XYZ Securities** (applicant firm) for seeking provisional membership of the Exchange and subsequent application for registration of application with SEBI in Currency Derivatives segment.

Based on the information, explanation and documents given to us, we state that the designated partners Mr./Ms. **Ajay Kumar Sharma** and Mr./Ms. **Vijay Tewari** (names of designated partners) meets the eligibility requirements as prescribed in Securities Contracts (Regulation) Rules, 1957 (Rule 8(4A) and other relevant provisions) and SEBI (Stock Brokers & Sub-brokers) Regulations, 1992.

This confirmation has been issued on the request of the applicant firm for submitting to MCX Stock Exchange Limited / SEBI.

Place: **Mumbai**

Date: **04/11/2008**

**Jatin Shah & Co.**

*J. Shah*

**(Affix Jatin Shah & Co. Rubber Stamp)**

Membership Number: **12345**

## **ANNEXURE G**

### **UNDERTAKING**

(On letter-head of applicant) **(On Firm's Letter Head)**

We, M/s **XYZ Securities** hereby confirm that our designated partners Mr./Ms. **Ajay Kumar Sharma** and Mr./Ms. **Vijay Tewari** meet the eligibility requirements as prescribed in Securities Contracts (Regulation) Rules, 1957 (Rule 8(4A) and other relevant provisions) and SEBI (Stock Brokers & Sub-brokers) Regulations, 1992.

We undertake to ensure that the above individuals or whosoever is identified by the firm as designated partners, in future, till the time firm is registered as a member of the Exchange will continue to meet the eligibility requirements as per Securities Contracts (Regulation) Rules, 1957 and SEBI (Stock Brokers & Sub-brokers) Regulations, 1992.

We, M/s **XYZ Securities** hereby declare that we have not, at any point, introduced any fake / forged/ stolen shares in the market.

We, M/s. **XYZ Securities**, hereby declare that we have neither been declared defaulters by any Exchange in India/ by SEBI, nor are we related or associated with any other entity/person that have been declared defaulters by any Stock Exchange in India/SEBI.

We, M/s. **XYZ Securities** further declare that none of the partners of our firm have been declared as defaulters by any Stock Exchange in India/SEBI or are related or associated with any other entity/person who have been declared defaulters by any Stock Exchange in India/SEBI.

We further declare that no enquiry/investigation has been initiated/pending against M/s **XYZ Securities** or any of the partners by any Stock Exchange/SEBI.

**A.Kumar**

**XYZ Securities Rubber Stamp**

**V.Tewari**

**XYZ Securities Rubber Stamp**

**Annexure H**

**Format of Member Covering Letter**

**(On the letterhead of Applicant) (On Firm's Letter Head)**

Date: **04/11/2008**

To,  
The Managing Director,  
**MCX Stock Exchange Limited**  
Mumbai

Dear Sir,

Subject: Application for approval of membership with MCX Stock Exchange Limited (MCX-SX) and Currency Derivatives Segment Registration.

I/We am/are interested to become the Trading Member/Trading Cum Clearing Member /Professional Clearing Member, of MCX Stock Exchange Ltd. And forward herewith application form along with documents for approval of membership with MCX Stock Exchange Limited and registration of Membership with SEBI.

Kindly arrange to approve our application for the aforesaid membership and submit our application to SEBI for registration.

I/We undertake to confirm to and to abide by the Rules, Bye-Laws, Business-Rules, Regulations, Circulars, Notifications and office orders issued by the Exchange/SEBI from time to time.

Thanking You.

**XYZ Securities**

**A.Kumar**  
**XYZ Securities Rubber Stamp**

**V.Tewari**  
**XYZ Securities Rubber Stamp**

**"FORM AB"**

**SECURITIES AND EXCHANGE BOARD OF INDIA  
(STOCK BROKERS AND SUB-BROKERS) REGULATIONS, 1992  
(REGULATION 16J)**

**APPLICATION FORM FOR REGISTRATION AS A TRADING AND/OR  
A CLEARING MEMBER OF CURRENCY DERIVATIVES SEGMENT OF A STOCK  
EXCHANGE WITH THE SECURITIES AND EXCHANGE BOARD OF INDIA**

1. Name of the Currency Derivatives Segment of a Stock Exchange/Clearing Corporation/Clearing House of which the applicant is the member. Please furnish the tenure of membership.

**MCX Stock Exchange Ltd.**

2. Name of the Member with Code No.

**XYZ Securities.**

Signature of Designates Partners &  
Rubber Stamp of **XYZ Securities.**

3. Whether the applicant is to act as Trading member and/or Clearing member.

**Trading Member**

4. If the applicant is to act as Trading member, the applicant is required to furnish the name and details of the Clearing member, through whom he intends to clear and settle his trade

**XYZ Ltd.**

**17, Netaji Subhash Marg, Dayaganj, New Delhi- 110002**

5. Address of the Member

**Vrusti, 20 Swastic Soc., V. M. Road, Vile Parle (W), Mumbai – 56.**

6. Trade Name of Member

**XYZ Securities.**

7. Form of Organisation: Sole proprietorship/Partnership/Corporate body/Financial institution  
(Please give names of proprietor/partners/directors).

Partnership Body

**Mr. Ajay Kumar Sharma – Partner**

**Mr. Vijay Tewari - Partner**

8. Please furnish a copy of Memorandum and Articles of Association or the Partnership Deed, as the case may be.

**Enclosed**

Signature of Designates Partners &  
Rubber Stamp of **XYZ Securities.**

9. Educational Qualifications of proprietor/partners/directors, etc.

**Mr. Ajay Kumar Sharma – MMS Fin**

**Mr. Vijay Tewari – C.A.**

Signature of Designates Partners &  
Rubber Stamp of **XYZ Securities.**

10. Whether the applicant or its sales personnel or approved user has passed any certification programme? If so, please specify the detail.

**Mr. Ankur Choksi – NCFM Derivative Market – NCFM -00000019567**

**Mr. Rohan P. Halankar – BCDE for Derivative Market – BSE-BCD-RJKT-JUL2297**

11. The experience of the applicant or their two directors or partners in Currency derivatives trading or securities market, if so, please give details.

**Mr. Ajay Kumar Sharma – 4yrs**

**Mr. Vijay Tewari – 10yrs**

12. Date of admission to membership to Currency derivatives segment of a Stock Exchange/Clearing Corporation/Clearing House.

Signature of Designates Partner &  
Rubber Stamp of XYZ Securities.

13. Whether a member of more than one exchange or Currency derivatives segment of a stock exchange or clearing corporation or clearing house of any segment? If so, please give name(s) of the Clearing Corporation/clearing house, or any stock exchange(s) with code number(s)?

**NSE – INB231221335**

**BSE – INB011221331**

**Membership Applied – NSE Currency Derivative (In Process)**

14. Networth of the applicant. Please furnish details along with necessary documents in support thereof.

**Rs.1,34,92,416/- as on 31-March-08**

15. Whether the applicant or its director or partners, any time convicted of any economic offence? If so, please furnish the details?

**NO or Yes**

16. Whether the applicant or its directors or partners, declared insolvent/bankrupt or declared defaulter by any exchange? If so, please furnish details?

**NO or Yes**

17. Whether the applicant or its directors or partners at anytime subjected to any proceedings or penalty by the Board under SEBI Act or any of the regulations framed under the SEBI Act? If so, please furnish the details.

**NO or Yes**

18. Indicate fax, telex and phone number(s).

**Tel: +9122 67338888**

**Fax: +9122 67338899**

**Mob: 9820298633 Mr. Ankur Choksi**

19. Whether the application is accompanied by a requisite fee as per Schedule IVA of the Regulations as applicable to the applicant.

**N.A.**

Signature of Designates Partner &  
Rubber Stamp of XYZ Securities.

*I declare that the information given in this form is true and in the event of any information furnished is false, misleading or suppression of facts, my certificate of registration is liable to be cancelled by SEBI without assigning any reasons whatsoever.*

Signature of Designates Partner &  
Rubber Stamp of XYZ Securities.

*Dated: 1<sup>st</sup> September 2008*

*Signature:*

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*Recommendation of the Currency derivatives segment of a stock exchange, clearing corporation/House*

*This is to certify that \_\_\_\_\_ is a member of this Currency Derivatives segment or Clearing Corporation or Clearing House and is recommended for registration with the Securities and Exchange Board of India.*

*Signature :*

*Name :*

*Designation :*

**"FORM AB"**

**SECURITIES AND EXCHANGE BOARD OF INDIA  
(STOCK BROKERS AND SUB-BROKERS) REGULATIONS, 1992  
(REGULATION 16J)**

**APPLICATION FORM FOR REGISTRATION AS A TRADING AND/OR  
A CLEARING MEMBER OF CURRENCY DERIVATIVES SEGMENT OF A STOCK  
EXCHANGE WITH THE SECURITIES AND EXCHANGE BOARD OF INDIA**

1. Name of the Currency Derivatives Segment of a Stock Exchange/Clearing Corporation/Clearing House of which the applicant is the member. Please furnish the tenure of membership.

**MCX Stock Exchange Ltd.**

2. Name of the Member with Code No.

**XYZ Securities.**

Signature of Designates Partner &  
Rubber Stamp of XYZ Securities.

3. Whether the applicant is to act as Trading member and/or Clearing member.

**Clearing Member**

4. If the applicant is to act as Trading member, the applicant is required to furnish the name and details of the Clearing member, through whom he intends to clear and settle his trade

**N.A.**

5. Address of the Member

**Vrusti, 20 Swastic Soc., V. M. Road, Vile Parle (W), Mumbai – 56.**

6. Trade Name of Member

**XYZ Securities.**

7. Form of Organisation: Sole proprietorship/Partnership/Corporate body/Financial institution  
(Please give names of proprietor/partners/directors).

Partnership Body

**Mr. Ajay Kumar Sharma – Partner**

**Mr. Vijay Tewari - Partner**

8. Please furnish a copy of Memorandum and Articles of Association or the Partnership Deed, as the case may be.

**Enclosed**

9. Educational Qualifications of proprietor/partners/directors, etc.

**Mr. Ajay Kumar Sharma – MMS Finance**

**Mr. Vijay Tewari – C.A.**

Signature of Designates Partner &  
Rubber Stamp of XYZ Securities.

10. Whether the applicant or its sales personnel or approved user has passed any certification programme? If so, please specify the detail.

**Mr. Ankur Choksi – NCFM Derivative Market – NCFM -00000019567**

**Mr. Rohan P. Halankar – BCDE for Derivative Market – BSE-BCD-RJKT-JUL2297**

11. The experience of the applicant or their two directors or partners in Currency derivatives trading or securities market, if so, please give details.

**Mr. Ajaykumar Sharma – 4 yrs**

**Mr. Vijay Tewari – 10 yrs**

12. Date of admission to membership to Currency derivatives segment of a Stock Exchange/Clearing Corporation/Clearing House.

Signature of Designates Partner &  
Rubber Stamp of XYZ Securities.

13. Whether a member of more than one exchange or Currency derivatives segment of a stock exchange or clearing corporation or clearing house of any segment? If so, please give name(s) of the Clearing Corporation/clearing house, or any stock exchange(s) with code number(s)?

**NSE – INB231221335**

**BSE – INB011221331**

**Membership Applied – NSE Currency Derivative (In Process)**

14. Networth of the applicant. Please furnish details along with necessary documents in support thereof.

**Rs.1,34,92,416/- as on 31-March-08**

15. Whether the applicant or its director or partners, any time convicted of any economic offence? If so, please furnish the details?

**NO or Yes**

16. Whether the applicant or its directors or partners, declared insolvent/bankrupt or declared defaulter by any exchange? If so, please furnish details?

**NO or Yes**

17. Whether the applicant or its directors or partners at anytime subjected to any proceedings or penalty by the Board under SEBI Act or any of the regulations framed under the SEBI Act? If so, please furnish the details.

**NO or Yes**

18. Indicate fax, telex and phone number(s).

**Tel: +9122 67338888**

**Fax: +9122 67338899**

**Mob: 9820298633 Mr. Ankur Choksi**

Signature of Designates Partner &  
Rubber Stamp of XYZ Securities.

19. Whether the application is accompanied by a requisite fee as per Schedule IVA of the Regulations as applicable to the applicant.  
**DD no. 1234567 and Rs.50,000/-**

*I declare that the information given in this form is true and in the event of any information furnished is false, misleading or suppression of facts, my certificate of registration is liable to be cancelled by SEBI without assigning any reasons whatsoever.*

|  |
|--|
| Signature of Designates Partner &<br>Rubber Stamp of XYZ Securities. |
|--|

**Dated: 1<sup>st</sup> September 2008**

Signature:

---

*Recommendation of the Currency derivatives segment of a stock exchange, clearing corporation/House*

*This is to certify that-\_\_\_\_\_ is a member of this Currency Derivatives segment or Clearing Corporation or Clearing House and is recommended for registration with the Securities and Exchange Board of India.*

Signature :

Name :

Designation :

## Annexure K

### Infrastructure Undertaking (on the letterhead of XYZ Securities)

I/ We hereby declare the following:-

I/ We have the necessary infrastructure like adequate office place, equipment and manpower to effectively undertake our activities as member of Currency Derivative segment of MCX Stock Exchange Ltd.

Below mentioned are the basic infrastructure requirements that we own / have taken on rent/ leave and licence /lease for trading in Currency Derivatives of MCX-Stock Exchange.

| S No. | PARTICULARS                    | DETAILS  |
|-------|--------------------------------|--|
| 1     | Name of Company                | <b>XYZ Securities</b>  |
| 2     | Address                        | <b>Vrusti, 20 Swastic Soc., V. M. Road, Vile Parle (W), Mumbai – 56</b>  |
| 3     | Telephone Numbers              | <b>+9122 67338888</b>  |
| 4     | Fax Numbers                    | <b>+9122 67338899</b>  |
| 5     | Office Premises Description    | <b>900 sq ft</b>   |
| 6     | Particulars of office Premises | <b>Owned / taken on rent/ leave and licence/ lease – please specify clearly</b>  |
| 7     | Office address (es)            | <b>Vrusti, 20 Swastic Soc., V. M. Road, Vile Parle (W), Mumbai – 56</b>  |
| 8     | Other Assets                   | Details of Fixtures & Furniture or any other related movable assets (Also specify clearly whether owned / taken on rent / leave and licence/ lease ) |
| 9     | No. of Computers               | <b>Owned / taken on rent/ leave and licence/ lease – please specify clearly</b>  |
| 10    | Back Office                    | Proposed from / (name of the vendor)   |
| 11    | No. of Employees               | <b>15 nos</b>  |

I / We confirm that the above stated facts and details are true and correct and I / We have not misrepresented and / or concealed /suppressed any material facts in this regard.

I / We also confirm that in the event of any false / incorrect particulars furnished by me/ us or any misrepresentation of facts / suppression /concealment of facts by us, our application for membership may be rejected / our membership registration may be cancelled and penal action may be taken against us.

For \_\_\_\_\_ (stamp of **XYZ Securities**)

For \_\_\_(stamp of **XYZ Securities**)

**Ajay Kumar Sharma**  
**Designated Partner**

**Vijay Tewari**  
**Designated Partner**

Date :- **18/05/2009**

Place :- **Mumbai**

**(Suggested)**

**In the nature of business / activities of the Partnership Deed the following be included.**

To acquire membership of MCX Stock Exchange or any other Stock Exchange for carrying on business as a Stock Broker on any or all Segments of such Stock Exchange and to become member of Currency derivatives segment of such stock exchange; to act as a sub-broker affiliated to any Stock Broker; or to become member of any Clearing Corporation or Clearing House of any stock exchange.